Keogh Plan Participant Change Information Form
For Existing Citibank Keogh Plan Customers

☐ Profit Sharing Keogh  ☐ Money Purchase Keogh

By using this form you can make the following changes to your Citibank Keogh Plan:

A Change your address, birthdate, employer Tax I.D. number, social security number, or telephone number.

B Designate or change the beneficiary or beneficiaries of your Citibank Keogh Plan.

C For Owner-only Keogh Plans, link your Citibank Keogh Plan balances to your personal checking account or IMMA.

Important things to remember

- This form cannot be used to change your name. If your name has changed, please call Retirement Plan Services for further information.
- If you are changing your beneficiary, the information you provide on this form will take the place of any prior beneficiary designation.
- If this is an Owner-only Keogh Plan, by linking your Citibank Keogh Plan balances and other Citibank accounts to your personal checking account or IMMA, you may help eliminate monthly charges.

Where to return your form

You may return your completed form to your Financial Advisor, nearest branch, or mail to:

Retirement Plan Services
100 Citibank Drive
San Antonio, TX 78245

If you have any questions

For assistance, please ask your branch representative, Financial Advisor or call Retirement Plan Services at 1-800-695-5911*. For Text Telephone Service (TDD) for speech and hearing impaired customers, call 1-800-788-6775.

Thank you

We appreciate your business and look forward to serving your retirement planning needs.

* To ensure quality service, calls are recorded.

This form is not for use with Citibank Keogh Brokerage Accounts through Citigroup Global Markets Inc.
Keogh Plan Participant Change Information Form

For Existing Citibank Keogh Plan Customers

☐ Profit Sharing Keogh  ☐ Money Purchase Keogh

Please Complete This Section

Participant's Name

First  Middle  Last

Social Security Number

Branch Number

☐ Check here if you are a Citigold client. If so, please indicate your Citigold account number: ___________________________

Indicate which type of Keogh Plan you are changing:  ☐ Money Purchase Keogh Plan  ☐ Profit Sharing Keogh Plan  ☐ Both Keogh Plans

PLEASE COMPLETE ONLY THOSE SECTIONS APPROPRIATE TO THE CHANGES YOU WISH TO MAKE.

A  Please Change My Address, Employer Tax I.D. Number, Birth Date, Social Security Number, or Telephone Number to:

Address

Street

City

State Zip

Tax ID number (For employers only) ____________________________ Date of Birth ____________________________

Social Security Number ____________________________ Home Phone (_____) ____________________________

Business Phone (_____) ____________________________

B  Beneficiary Designation

See the Guide to Naming a Beneficiary on the client copy of this form. The beneficiary information you provide here will take the place of any previous beneficiary designation for that plan.

Primary

Name

Social Security Number

Address

Birth Date

Relationship

Share %

Secondary

Name

Social Security Number

Address

Birth Date

Relationship

Share %

Consent of Spouse: If you are married or legally separated and choose to name someone other than your spouse as your beneficiary under the Plan, you must obtain the written consent of your spouse. This consent must be notarized below.

I consent to the above Beneficiary Designation.

Spouse's Name ____________________________ Spouse's Signature ____________________________ Date ____________________________

California Only

County of ____________________________ State of California ____________________________

On ____________________________ before me, ____________________________ personally appeared

(Date)

(here insert name and title of the officer)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Notary Public Signature ____________________________ Notary Public Seal ____________________________

All States except California

County of ____________________________ State of ____________________________

On ____________________________ before me came ____________________________

(Date)

to me known to be the person described in, and who executed the foregoing instrument, and acknowledged that (s)he executed the same.

Notary Public Signature ____________________________ Notary Public Seal ____________________________

C  Link Owner-Only Keogh Plan Balance – Check one

☐ I would like my Owner-only Keogh Plan balance* to count toward eliminating checking or IMMA Service charges.

My personal checking account or IMMA number is: ____________________________

☐ If your personal checking account or IMMA number has changed, please indicate the new number below.

My new account number is: ____________________________

Please Complete This Section

Participant's Signature ____________________________ Date ____________________________

Please retain the customer copy for your records

BRANCH USE ONLY

FA Name/Signature ____________________________ FA Number ____________________________

Office Code ____________________________

Item 358622 (Rev. 8/10)
Guide to Naming a Beneficiary

Naming someone other than your spouse

The Retirement Equity Act of 1984 requires that if you are married and choose to name someone other than your spouse as your beneficiary under the Plan, you must obtain the written consent of your spouse, even if you are legally separated. This consent must be notarized and provided to Retirement Plan Services.

- If you do not obtain your spouse’s consent and name another beneficiary or you do not name anyone as your beneficiary, the balance in your Keogh Plan will be paid to your surviving spouse.

- If you have no surviving spouse and no beneficiary survives you or no beneficiary designation is in effect at your death, your account balance goes to your estate.

Naming more than one beneficiary

If you designate more than one primary beneficiary, the proceeds will be distributed equally among the survivors unless you indicate the share percentage for each. Any secondary beneficiary or beneficiaries you name will receive your Citibank Keogh Plan balance only if all primary beneficiaries die before you. If you designate more than one secondary beneficiary, the proceeds will be shared equally among the survivors unless you indicate the percentage for each.

Naming a trust as beneficiary

To name a trust as primary or secondary beneficiary, write the name and address of the trustee in the designated area of the Beneficiary Designation section, give the date of the trust agreement, the tax I.D. number of the trust and the name of the trust beneficiary.

Other important points to remember

- By naming a beneficiary on this form, you cancel any earlier designation you may have made with respect to the assets in your Keogh Plan.

- You cannot name a beneficiary for a particular Keogh investment account therefore, the person(s) named here will be the beneficiary of your entire Citibank Keogh Plan. The name of the person will govern, not the relationship.

- You may cancel or change a beneficiary designation at any time by using a Citibank Keogh Plan Participant Change Information Form.