

# IRA Change Investment Form For Existing Citibank IRA Customers



Please use this form to select a new Traditional IRA, Roth IRA, or SEP-IRA investment option for funds currently in your Traditional IRA, Roth IRA, SEP-IRA, or Citibank Rollover IRA.

Please review the information below before you complete this form.

## Important things to remember:

- When making your investment selection, please refer to your Citibank Traditional IRA, Citibank Roth IRA, or Citibank SEP-IRA Plan Documents for information and general rules.
  - If enrolled under a Traditional IRA Plan, please visit: [citi.com/TraditionalIRAPlan](https://citi.com/TraditionalIRAPlan); for Roth IRA visit: [citi.com/RothIRAPlan](https://citi.com/RothIRAPlan)
  - Addendum to the Citibank Retirement Plan Documents and Coverdell Education Savings Account - Cost of Living Adjustment (COLA) Information, please visit: [citi.com/AddendumCOLAInformation](https://citi.com/AddendumCOLAInformation)
  - Consumer Deposit Account Agreement, please visit: [citi.com/ConsumerDepositAccountAgreement](https://citi.com/ConsumerDepositAccountAgreement)
  - Privacy Notice, please visit: [citi.com/ConsumerPrivacyNotice](https://citi.com/ConsumerPrivacyNotice)
- If you prefer, investment changes may be made by telephone. Our telephone numbers are listed below.
- Certificates of Deposit ("CD") and Insured Money Market ("IMMA") accounts will receive the interest rate in effect when Citibank receives the funds. Please refer to the IRA account Product section of the IRA Account Supplement for details. For current Annual Percentage Yields and interest rates for CD and IMMA accounts in a Citibank IRA, visit [citi.com](https://citi.com), speak to a banker in a Citibank branch, or call Retirement Plan Services.
- A CD will renew into the same term investment (unless otherwise indicated) at the rate in effect on the CD renewal date. If you select a new investment option, you will receive a confirmation letter from us confirming this change.
- If you would like to add to Traditional IRA, Roth IRA, or SEP-IRA at the same time your CD matures, please attach your check made payable to Citibank, N.A. as custodian and complete Section C.
- If you are reinvesting a maturing Traditional IRA/Roth IRA/SEP-IRA CD into a new investment option, please indicate the date your CD matures.
- Complete a separate IRA Change Investment Form for each account.

## Where to return your form(s):

You may return your completed form to your nearest Branch, or mail to:

Retirement Plan Services  
P.O. Box 769001  
San Antonio, TX 78245-9951

For overnight delivery, send to:

Retirement Plan Services  
100 Citibank Drive  
San Antonio, TX 78245

## If you have any questions

Call Retirement Plan Services at 1-800-695-5911.<sup>1</sup> For TTY: we accept 711 or other Relay Service. Representatives are available to assist you Monday through Friday 8:00 a.m. – 10:00 p.m. Eastern Time, and Saturday 9:00 a.m. – 5:30 p.m. Eastern Time.

---

## Footnotes:

<sup>1</sup> To ensure quality service, calls are recorded.

CUSTOMER INITIALS ▶
------------------------

# IRA Change Investment Form For Existing Citibank IRA Customers



## A. Account Owner Information

**Plan Type:** ☐ Traditional IRA ☐ Roth IRA ☐ Rollover IRA ☐ SEP-IRA

Social Security Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

☐ Mr. ☐ Mrs. ☐ Ms.

First Name: \_\_\_\_\_ Middle: \_\_\_\_\_ Last Name: \_\_\_\_\_

### Current Address

☐ Check here if this is a new address (if checked, please provide Previous Address).

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Home Telephone: \_\_\_\_\_ Business Telephone: \_\_\_\_\_

By providing a phone number, you agree that we or our service providers can contact you at that number by text message, artificial voice, pre-recorded or autodialed calls. Your phone plan charges may apply.

### Previous Address

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

## B. Investment Change (Please refer to important things to remember on the previous page)

You may use this section to change any deposit account in a Traditional IRA, Roth IRA, or SEP-IRA including Certificates of Deposit ("CD") and Insured Money Market ("IMMA") accounts.

1. Existing deposit product: Indicate the account number you are authorizing us to change: \_\_\_\_\_

Account Type: ☐ CD ☐ IMMA account

Select terms from both sections A and B below:

**A.** ☐ Immediately **or** ☐ At Maturity on \_\_\_\_\_ **B.** ☐ Entire account balance **or** ☐ Specific amount \$ \_\_\_\_\_

(Please note: There are early withdrawal penalties if you terminate a time deposit before maturity.)

2. New deposit product: Please choose your new options in accordance with your Citibank IRA Plan Documents.

☐ **CD**      Term      Amount

\_\_\_\_\_ \$ \_\_\_\_\_  
(Minimum Investment \$250)

\_\_\_\_\_ \$ \_\_\_\_\_  
(Minimum Investment \$250)

\_\_\_\_\_ \$ \_\_\_\_\_  
(Minimum Investment \$250)

☐ **Insured Money Market (IMMA) Accounts:** \$ \_\_\_\_\_

CUSTOMER INITIALS





### C. Add to Your Maturing CD

Unless indicated below, contributions received between January 1 and April 15 will be treated as current year contributions. Prior year contribution amounts received which exceed the maximum prior year contribution will be applied to the current year. Contribution information is reported to the participant and the IRS on Form 5498.

For tax year 20 \_\_\_\_\_ add the amount of \$ \_\_\_\_\_ to the maturing CD indicated in Section B.

### D. Acceptance (please read this section carefully, and sign and date below)

**By signing below, I authorize, certify and/or acknowledge that:**

- I have read and understand all the information provided and as outlined in this form.
- If the requested change requires a new deposit account: I have read and agree to the terms of the Consumer Deposit Account Agreement ("CMA"), which applies to accounts in simplified banking. If I have been notified that my accounts are in a Legacy Relationship, I have either converted to a "Family Link" or opted out of the Legacy Relationship.
- I have received the updated applicable Traditional/ Roth Individual Retirement Custodial Account Agreement ("Custodial Agreement") and Traditional/Roth IRA Disclosure Statement that includes the IRA Financial Disclosure ("Disclosure Statement"), that amends and restates the previously provided Custodial Agreement and Disclosure Statement applicable to my Citibank Traditional/Roth IRA.
- Citibank will send written notice confirming the applicable request. Any discrepancies or errors in any notice about my Citibank Traditional/Roth IRA must be reported to Citibank within 60 days of the notice date.
- If I have made an additional contribution, any election to have a contribution treated as a Rollover cannot be revoked.
- If I have made an additional contribution, the contribution is made for the tax year indicated.
- If the requested change requires a new deposit account: I have received, read, and agree to the terms of the IRA Account Supplement to the Consumer Deposit Account Agreement ("IRA Account Supplement") applicable to the deposit account type(s) selected.
- Insured Money Market ("IMMA") accounts and Certificate of Deposit accounts are deposit products offered by Citibank, N.A. Member FDIC.
- Citibank can hold contribution funds uninvested or deposit funds in a Citibank IMMA account if I do not provide clear written directions by the time Citibank receives the funds or in order to process certain transactions including requests from me, a beneficiary, a local state or federal governmental entity or other authorized party.
- IMMA accounts and CDs will receive the interest rate in effect when Citibank receives the funds. Please refer to the IRA account Products section of the IRA Account Supplement for details. For current Annual Percentage Yields and interest rates for IMMA accounts and CD accounts in a Citibank IRA, visit citi.com, speak to a banker at a Citibank branch, or call Retirement Plan Services.
- If the requested change requires a new deposit account: During a new account application process, Citibank may verify my identity using commercially available databases containing information from public records, other financial institutions and consumer reporting agencies.

*This form must be received within 90 days of the date of your signature. If the form is received after 90 days of your dated signature, Citibank will request a new form to be completed.*

► Account Owner's Signature: \_\_\_\_\_ ► Date: \_\_\_\_\_

#### BRANCH: Be sure to date stamp this application

Rep: _____ Branch #: _____ Rep. Print: _____	<b>Retirement Plan Services Use Only:</b>	NEW A/C: _____
		TXN: _____
		EFF DT: _____
		AMT: _____
Rep. Signature: _____		

CUSTOMER INITIALS  
►